

# Emittance oscillation in the drift of split photoinjectors

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Advanced  
Photon  
Source

**Argonne National Laboratory**



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# “double-minimum” emittance oscillation

HOMDYN STUDY FOR THE LCLS RF PHOTO-INJECTOR<sup>†</sup>

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SLAC-PUB 8400

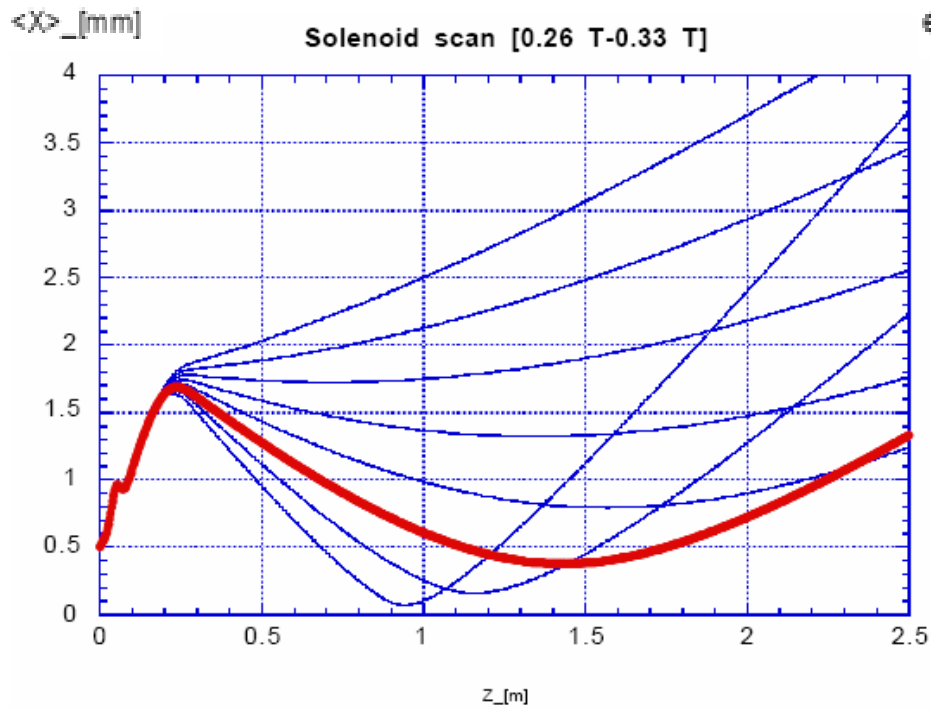


FIG. 10: Beam envelope versus  $z$  for different solenoid strengths.

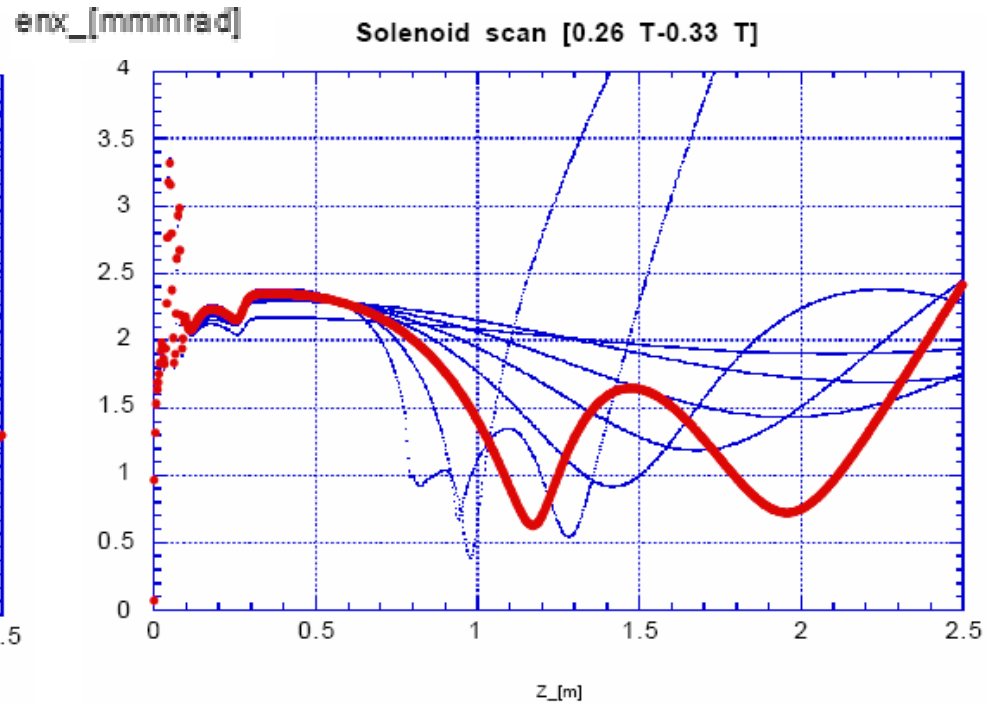


FIG. 11: Beam emittance versus  $z$  for different solenoid strengths.

# Beam-envelope equation in drift space

$$\sigma'' + \frac{\gamma'}{\beta^2 \gamma} \sigma' + K_r \sigma - \frac{\kappa_s}{\beta^3 \gamma^3} \sigma - \frac{\epsilon_n^2}{\beta^2 \gamma^2 \sigma^3} = 0$$

no acceleration,

no focusing,

quasi-laminar

no invariant envelope, thus not compensated oscillation

assuming negligible change in perveance, let  $\tau = \frac{\sigma}{\sqrt{\kappa_s / \beta^3 \gamma^3}}$

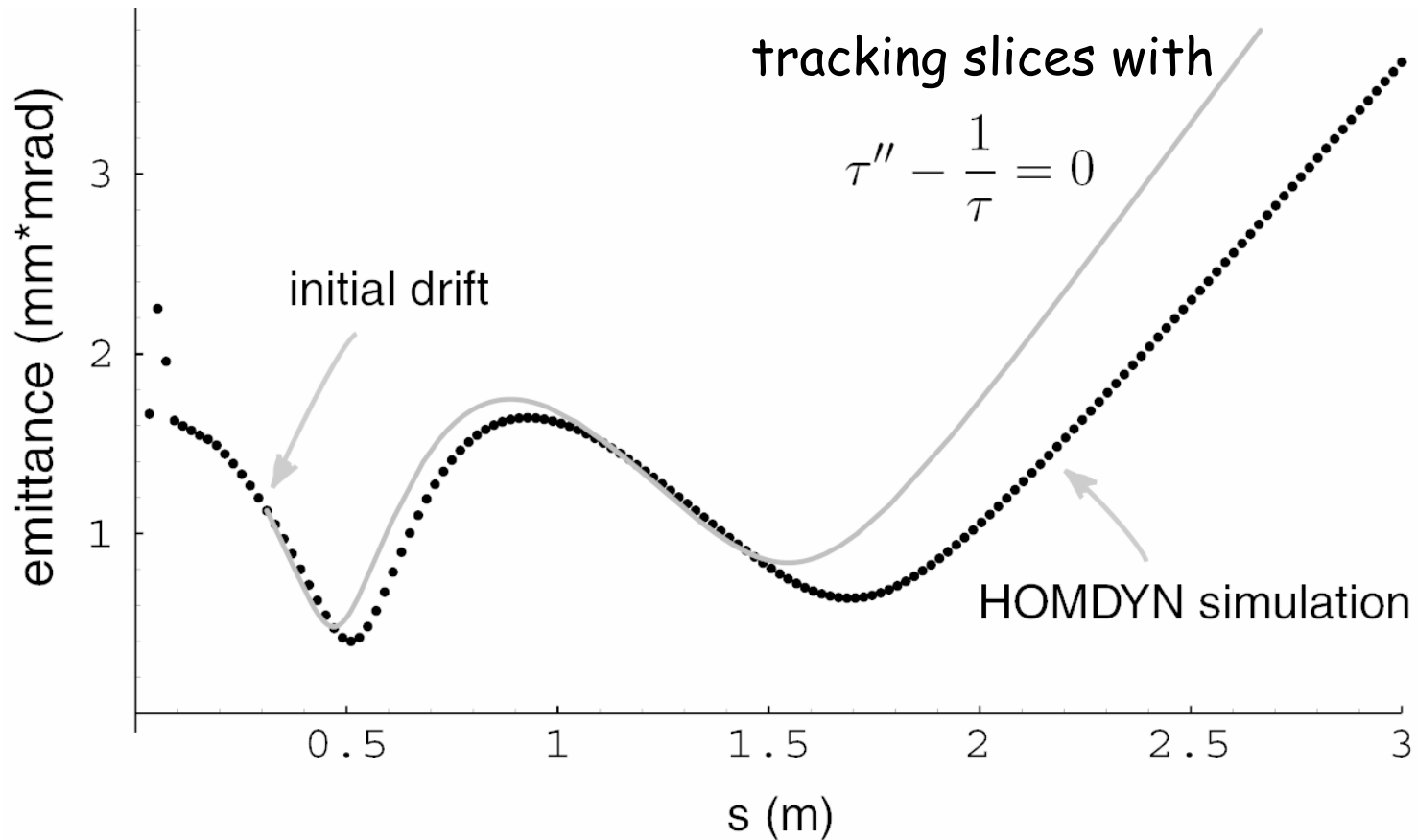
$$\tau'' - \frac{1}{\tau} = 0$$

a well-known universal equation for beam spreading under space charge

$$H = \frac{p_\tau^2}{2} - \ln \tau$$

# Oscillations due to beam spreading

Example: an optimized SPARC design courtesy of M. Ferrario



the double-minimum feature is mainly due to beam-spreading

# Universal beam-spreading curve

$$H = \frac{p_\tau^2}{2} - \ln \tau \longrightarrow \tau'^2 = \tau_0'^2 + 2 \ln \frac{\tau}{\tau_0} \longrightarrow \tau = \tau_0 \exp\left(\frac{\tau'^2 - \tau_0'^2}{2}\right) = \tau_w e^{\tau'^2/2}$$

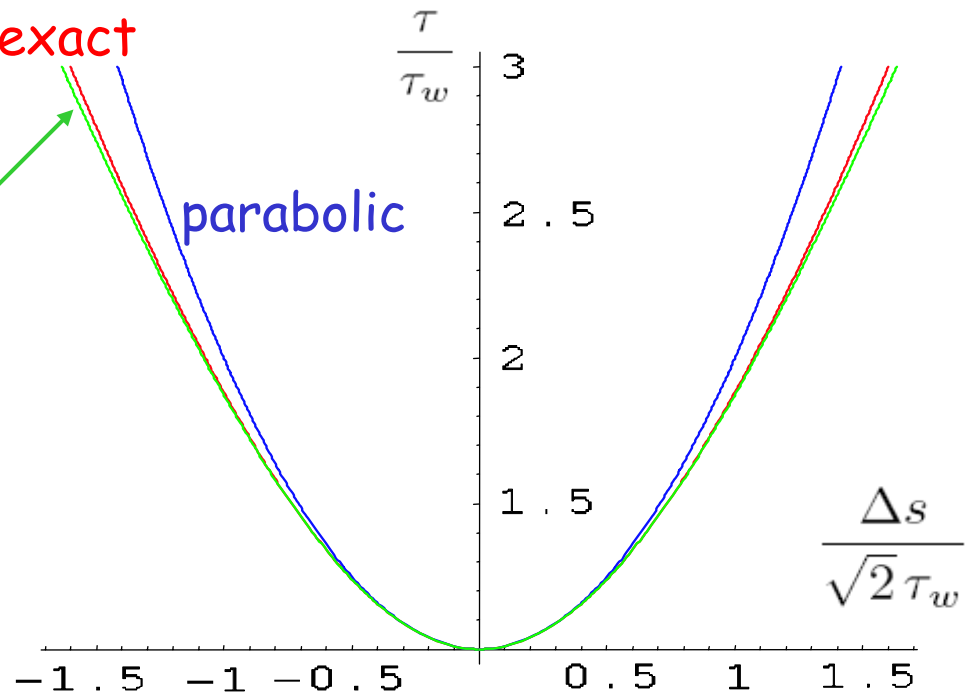
$$\frac{\Delta s}{\sqrt{2} \tau_w} = \pm \int_1^{\frac{\tau}{\tau_w}} \frac{dx}{2\sqrt{\ln x}} = \sqrt{\tau/\tau_w - 1} + (1/12)(\tau/\tau_w - 1)^{3/2} + \dots$$

$$\frac{\tau}{\tau_w} \simeq 1 + \left(\frac{\Delta s}{\sqrt{2} \tau_w}\right)^2$$

exact

parabolic

$$\frac{\tau}{\tau_w} \simeq 3 \sqrt{1 + \left(\frac{\Delta s}{\sqrt{3} \tau_w}\right)^2} - 2$$



# Emittance calculation formulas (1)

rms emittance  $\epsilon = \sqrt{\overline{X^2 P^2} - \overline{X P}^2}$ , an expression difficult to manipulate

assuming  $\begin{pmatrix} X \\ P \end{pmatrix} = \begin{pmatrix} \bar{X} \\ \bar{P} \end{pmatrix} + \sum_{\alpha} \begin{pmatrix} \partial_{q^{\alpha}} X \\ \partial_{q^{\alpha}} P \end{pmatrix} q^{\alpha}$  with  $\bar{q}^{\alpha} = 0$  and  $\overline{q^{\alpha} q^{\beta}} = 0$  for  $\alpha \neq \beta$

$$\epsilon^2 = \left| \begin{pmatrix} X \\ P \end{pmatrix} (X, P) \right| \quad \hat{X} = (\bar{X}, (\partial_{q^1} X) q_{\text{rms}}^1, \dots)$$

$$= \left| \begin{pmatrix} \bar{X} \\ \bar{P} \end{pmatrix} (\bar{X}, \bar{P}) + \sum_{\alpha, \beta} \begin{pmatrix} \partial_{q^{\alpha}} X \\ \partial_{q^{\alpha}} P \end{pmatrix} (\partial_{q^{\alpha}} X, \partial_{q^{\alpha}} P) \overline{q^{\alpha} q^{\beta}} \right|$$

$$= \left| \begin{pmatrix} \bar{X} \\ \bar{P} \end{pmatrix} (\bar{X}, \bar{P}) + \sum_{\alpha} \begin{pmatrix} \partial_{q^{\alpha}} X \\ \partial_{q^{\alpha}} P \end{pmatrix} (\partial_{q^{\alpha}} X, \partial_{q^{\alpha}} P) \overline{(q^{\alpha})^2} \right|$$

$$= \begin{vmatrix} \hat{X} \cdot \hat{X} & \hat{X} \cdot \hat{P} \\ \hat{X} \cdot \hat{P} & \hat{P} \cdot \hat{P} \end{vmatrix} > 0 \quad \text{Cauchy-Schwarz inequality}$$

$$= \|\hat{X} \times \hat{P}\| = \sum_{\alpha < \beta} (\hat{X}_{\alpha} \hat{P}_{\beta} - \hat{X}_{\beta} \hat{P}_{\alpha})^2 \quad \text{Lagrange's Identity}$$

$$= \sum_{\alpha} (\bar{X} \partial_{q^{\alpha}} P - \bar{P} \partial_{q^{\alpha}} X)^2 \overline{(q^{\alpha})^2} + O\left(\overline{(q^{\alpha})^2} \overline{(q^{\beta})^2}\right),$$

# Emittance calculation formulas (2)

## ➤ emittance due to current variation among slices

$$\epsilon = |\hat{\sigma} \partial_I \hat{\sigma}' - \hat{\sigma}' \partial_I \hat{\sigma}|_{I_p} \widehat{\delta I} = \hat{\sigma} (I_p)^2 \left| \frac{\partial}{\partial I} \left( \frac{\hat{\sigma}'}{\hat{\sigma}} \right) \right|_{I_p} \widehat{\delta I}.$$

$$\epsilon(z) \cong \frac{1}{\sqrt{2}} \sigma_0 (\delta I_{\text{rms}}) \left| \frac{\partial}{\partial I} \left( \frac{\sigma'}{\sigma} \right) \right|_{I=I_p} \quad (\text{Serafini \& Rosenzweig, PRE55})$$

## ➤ two-slice emittance ( $\hat{\sigma} = \sqrt{\beta\gamma} \sigma$ )

$$\epsilon = \frac{1}{2} |\hat{\sigma}_+ \hat{\sigma}'_- - \hat{\sigma}_- \hat{\sigma}'_+| = \frac{\beta\gamma}{2} |\sigma_+ \sigma'_- - \sigma_- \sigma'_+|$$

provided that we let  $\hat{\sigma}_+ = \hat{\sigma}_{I_p}$  and  $\hat{\sigma}_- = \hat{\sigma}_{I_p} + \partial_I \hat{\sigma} \Delta I$ ,  
i.e.,  $\partial_I \hat{\sigma} = (\hat{\sigma}_- - \hat{\sigma}_+) / \Delta I$  and  $\widehat{\delta I} = \Delta I / 2$ .

# Oscillations due to initial spreads in $\tau_0, \tau_0'$

$$\epsilon = \sqrt{W_\tau^2 \frac{(\Delta\tau_0)_{\text{std.}}^2}{(\tau_0)_{\text{avg.}}^2} + W_{\tau'}^2 \frac{(\Delta\tau_0')_{\text{std.}}^2}{(\tau_0')_{\text{avg.}}^2}}$$

$$W_\tau = (\tau \partial_{\tau_0} \tau' - \tau' \partial_{\tau_0} \tau) \tau_0, \quad W_{\tau'} = (\tau \partial_{\tau_0'} \tau' - \tau' \partial_{\tau_0'} \tau) \tau_0'$$

$$\partial_{\tau_0} \tau = \frac{\tau - \tau' s}{\tau_0}$$

$$\partial_{\tau_0'} \tau = \tau_0 \tau' - \tau_0' (\tau - \tau' s)$$

$$\partial_{\tau_0} \tau' = -\frac{s}{\tau_0 \tau}$$

$$\partial_{\tau_0'} \tau' = \frac{\tau_0 + \tau_0' s}{\tau}$$

note

$$\frac{s - s_w}{\sqrt{2} \tau_w} = -\text{sgn}[\tau'] \int_1^{\tau/\tau_w} \frac{dx}{2\sqrt{\ln x}}, \quad \frac{s_w}{\tau_w} = -\text{sgn}[\tau'] \int_1^{\tau_0/\tau_w} \frac{dx}{2\sqrt{\ln x}}$$

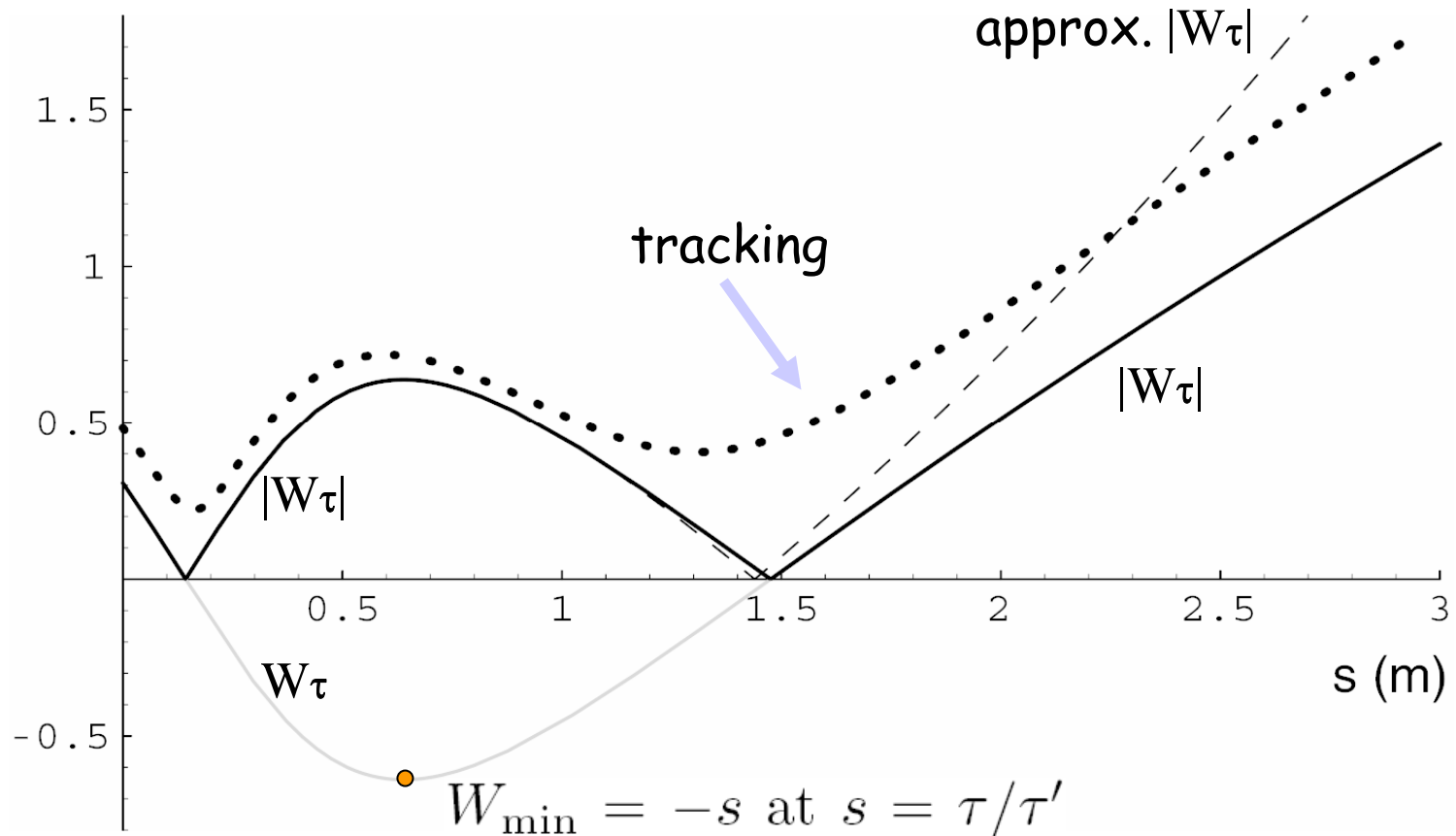
$$\tau'^2 = \tau_0'^2 + 2 \ln \frac{\tau}{\tau_0}, \quad \tau = \tau_0 \exp\left(\frac{\tau'^2 - \tau_0'^2}{2}\right) = \tau_w e^{\tau'^2/2}$$



# Oscillations due to $\tau_0$

$$\epsilon = |W_\tau| \frac{(\Delta\tau_0)_{\text{rms}}}{(\tau_0)_{\text{avg}}}, \quad W_\tau = (\tau'^2 - 1)s - \tau\tau', \quad W'_\tau = 2[(\tau'/\tau)s - 1] = 0$$

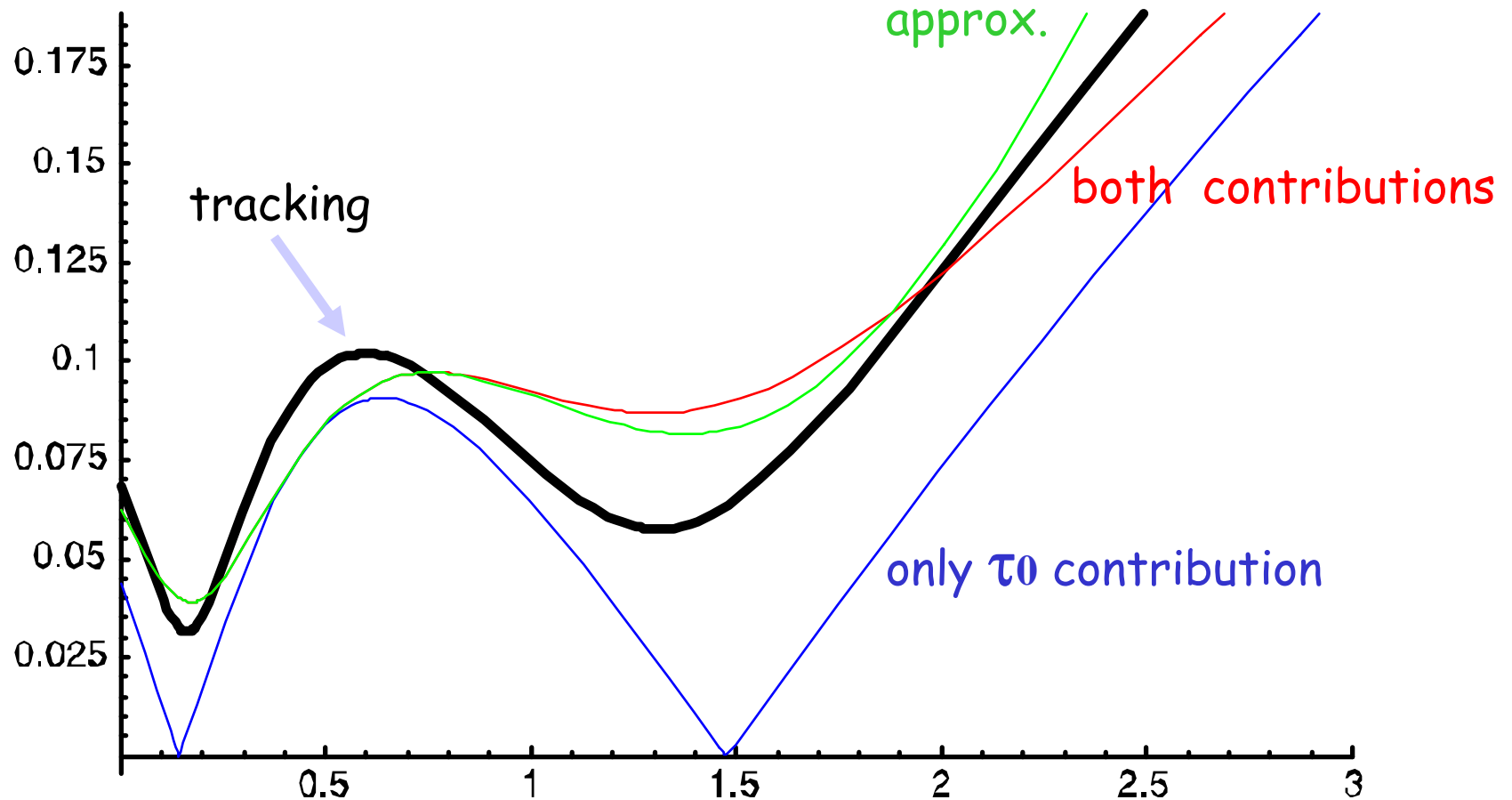
normalized emittance in  $\tau$ -space



not at the waist location

# Oscillations due to $\tau_0, \tau_0'$

emittance in  $\tau$ -space



$\tau_0, \tau_0'$  Correlation ignored,  
correlation matrix is  $\begin{pmatrix} 1. & 0.232488 \\ 0.232488 & 1. \end{pmatrix}$

# Acknowledgement

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